# The Nature of the ortho Effect. IV. Alkaline Hydrolysis of ortho-Substituted Benzoates 

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#### Abstract

The effect of ortho substituents upon the rates of alkaline hydrolysis of methyl and ethyl benzoates and upon the rates of methanolysis of 1-menthyl benzoates is purely electrical in nature; steric effects are not significant. The electrical effect is almost entirely a localized (field) effect. The results show that the assumptions underlying the definition of the Taft $\sigma_{0}{ }^{*}$ constants are not warranted. The magnitude of the ortho-electrical effect is comparable to that of the meta- and para-electrical effects for these reactions.


In his definition of substitutent constants for ortho substituents, Taft ${ }^{1}$ made use of the equations

$$
\begin{equation*}
\log \left(k_{\mathrm{X}} / k_{\mathrm{H}}\right)_{\mathrm{A}} \equiv E_{\mathrm{S}}^{\circ} \tag{1}
\end{equation*}
$$

and

$$
\begin{equation*}
\log \left(k_{\mathrm{X}} / k_{\mathrm{H}}\right)_{\mathrm{B}} \equiv E_{\mathrm{S}}^{\circ}+2.48 \sigma_{\mathrm{o}}{ }^{*} \tag{2}
\end{equation*}
$$

where the $k$ 's are rate constants for substituted and unsubstituted benzoate esters, respectively, A and B indicate acidic and basic ester hydrolysis, respectively, $E_{S}{ }_{S}$ is a steric parameter characteristic of the ortho substituent, and $\sigma_{0}{ }^{*}$ is a substituent constant characteristic of the electrical effect of the ortho substituent. We have previously shown ${ }^{2,3}$ that the $E_{S}{ }^{\circ}$ values are not a function of steric effects; in fact they are alnoost completely a measure of resonance effects, and may include a small localized (field and/or inductive) effect as well. In view of these results it seemed of interest to determine the nature of the ortho-substituent effect upon the basic hydrolysis of benzoate esters. To achieve this end we have correlated data taken from the literature with the equations

$$
\begin{gather*}
Q_{\mathrm{X}}=\sigma_{\mathrm{I}, \mathrm{X}}+\sigma_{\mathrm{R}, \mathrm{X}}+r_{\mathrm{V}, \mathrm{X}}+h  \tag{3}\\
Q_{\mathrm{X}}=\sigma_{\mathrm{I}, \mathrm{X}}+\sigma_{\mathrm{R}, \mathrm{X}}+h  \tag{4}\\
Q_{\mathrm{X}}=\sigma_{\mathrm{I}, \mathrm{X}}+h \tag{5}
\end{gather*}
$$

The substituent constants and van der Waals radii required for thesecorrelations are taken fromearlier papers in this series. ${ }^{2,4}$ The sets studied are cited in Table I. ${ }^{5}$ We have also examined rates of methanolysis of orthosubstituted 1-menthyl benzoates; for purposes of comparison, rate constants for the corresponding meta-and para-substituted benzoates were also studied. The correlations were carried out by means of multiple linear regression analysis. The value for $\mathrm{X}=\mathrm{H}$ has been excluded from all the sets studied. We have shown else-

[^0]where ${ }^{6}$ that the unsubstituted compound cannot be considered a typical member of an ortho-substituted set.

## Results

The results of the best correlations with eq 3 and 4 are presented in Table II; results of the correlations with eq 5 are set forth in Table III.
ortho-Substituted Sets. Rates of alkaline hydrolysis of 2 -substituted methyl benzoates at $35^{\circ}$ and $45^{\circ}$ gave poor and fair correlations, respectively, with eq 3 (sets Ol and O 2 ). Correlations with eq 4 gave very good and excellent results, respectively (sets O1B, and O2B). Results of $t$ tests show that $\alpha$ is the most significant regression coefficient. Correlation with eq 4 of the rates of alkaline hydrolysis of ethyl benzoates in acetonewater mixtures at 25 and $40^{\circ}$ gave results which were not significant (sets $\mathrm{O} 3 \mathrm{~B}-\mathrm{O} 8 \mathrm{~B}$ ). The nonsignificance of these results is probably due to the fact that there are only four points in each of these sets. It is interesting to note that $t$ tests again show $\alpha$ to be the most significant of the regression coefficients. Rates of alkaline hydrolysis of 2-substituted ethyl benzoates in $3 \%$ aqueous ethanol gave a poor but significant correlation (set O9) with eq 3. Excluding the value of $\mathrm{X}=\mathrm{NO}_{2}$ did not improve the results, probably because of the small size of the set (set O9A). Correlation with eq 4 gave results which were not significant (set O9B). Some improvement resulted from the exclusion of the value for $\mathrm{X}=$ $\mathrm{NO}_{2}$ (set O 9 C ). Correlation with eq 4 of the rates of alkaline hydrolysis of 2-substituted ethyl benzoates in $85 \%$ aqueous ethanol at 25,35 , and $50^{\circ}$ (sets O10BO12B) gave fair, poor, and poor results, respectively. Again, the results would probably have been better had the sets studied been larger. Rates of alkaline hydrolysis of 2 -substituted ethyl benzoates in $65 \%$ and $85 \%$ aqueous dimethyl sulfoxide (sets O13B and O14B) when correlated with eq 4 gave poor but significant results. Once more, the small size of the set is the most likely cause of the poor results. We note that once again, for sets O10B-O14B, $\alpha$ is of far greater significance than is $\beta$. Correlation with eq 3 of the rates of methanolysis of 2-substituted 1-menthyl benzoates at 39.9 and $50^{\circ}$ gave poor but significant results (sets O 22 and O 23 ). Correlation of these data with eq 4 gave very good results (sets O 22 B and O 23 B ). Exclusion of the value for $\mathrm{X}=$

[^1]Table I. Sets Studied

| Set | Reaction | Ref | Solvent | Temp, ${ }^{\circ} \mathrm{C}$ | $n^{\theta}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | $2 \mathrm{XC}_{8} \mathrm{H}_{4} \mathrm{CO}_{2} \mathrm{Me}+\mathrm{OH}^{-}$ | $a$ | 80\% v/v MeOH- $\mathrm{H}_{2} \mathrm{O}$ | 34.8-35 | 0 |
| 2 |  | $a$ |  | 44.8-45 | 0 |
| 3 | $2 \mathrm{XC}_{6} \mathrm{H}_{4} \mathrm{CO}_{2} \mathrm{Et}+\mathrm{OH}^{-}$ | $b$ | $600 \mathrm{ml} / \mathrm{l}$. of $\mathrm{H}_{2} \mathrm{O}$ in MeAc | 25 | 4 |
| 4 |  | $b$ |  | 40 | 4 |
| 5 |  | $b$ | $500 \mathrm{ml} / \mathrm{l}$. of $\mathrm{H}_{2} \mathrm{O}$ in MeAc | 25 | 4 |
| 6 |  | $b$ |  | 40 | 4 |
| 7 |  | $b$ | $400 \mathrm{ml} / \mathrm{l}$. of $\mathrm{H}_{2} \mathrm{O}$ in MeAc | 25 | 4 |
| 8 |  | $b$ |  | 40 | 4 |
| 9 |  | c | $3 \% \mathrm{EtOH}-\mathrm{H}_{2} \mathrm{O}$ | 25 | 0 |
| 10 |  | d | $85 \% \mathrm{EtOH}-\mathrm{H}_{2} \mathrm{O}$ | 25 | 3 |
| 11 |  | d |  | 35 | 3 |
| 12 |  | d |  | 50 | 3 |
| 13 |  | $e$ | 65\% DMSO- $\mathrm{H}_{2} \mathrm{O}$ | 25 | 2 |
| 14 |  | $e$ | 85\% | 25 | 2 |
| 15 |  | $e$ | 95\% | 25 | 2 |
| 21 | ${ }^{2}-\left(\mathrm{XC}_{6} \mathrm{H}_{4} \mathrm{CO}_{2}\right)_{2}-1-\mathrm{C}_{10} \mathrm{H}_{20}+\mathrm{MeO}^{-}$ | $f$ | MeOH | 30 | 4 |
| 22 |  | $f$ |  | 39.9 | 4 |
| 23 |  | $f$ |  | 50 | 4 |

${ }^{a}$ N. B. Chapman, J. Shorter, and J. H. P. Utley, J. Chem. Soc., 1291 (1963). ${ }^{b}$ E. Tommila, J. Paasivirta, and K. Setala, Suomen Kemistilehti, B33, 187 (1960). ${ }^{\text {c M. Hojo, M. Utaka, and Z. Yoshida, Kogyo Kagaku Zasshi, 23, } 1034 \text { (1965). }{ }^{d} \text { D. P. Evans, J. J. Gordon, and }}$ H. B. Watson, J. Chem. Soc., 1430 (1937). ${ }^{e}$ M. Hojo, M. Utaka, and Z. Yoshida, Kogyo Kagaku Zasshi, 23, 1040 (1965). 'f R. W. Taft, Jr., M. S. Newman, and F. H. Verhoek, J. Am. Chem. Soc., 72, 4517 (1950). ${ }^{g}$ In $10^{n} k_{2}$.
$\mathrm{NO}_{2}$ gave poor results (sets O 22 C and O 23 C ). Correlation of the data for this reaction at $30^{\circ}$ with eq 4 gave results which were not significant (set O21B). Again, $\alpha$ seems to be more significant than $\beta$ or $\psi$.

As in general the data seem to show a greater dependence on $\sigma_{\mathrm{I}}$ than on $\sigma_{\mathrm{R}}$ or $r_{\mathrm{V}}$ as shown by the $t$ tests for the significance of $\alpha, \beta$, and $\psi$, correlations were made with eq 3. Of the 18 sets of data studied, 7 gave very good, 7 gave fair, and 2 gave poor but significant correlations with eq 3 (see sets in Table III). Two sets (O4D and O15D) did not give significant correlations with eq 3. Exclusion of the value for $\mathrm{X}=\mathrm{NO}_{2}$ gave poorer results for set O9D (set O9E). Set O15D gave an excellent correlation with the $\sigma_{\mathrm{p}}$ constants with $\rho=2.59$ $=\alpha=\beta ; h=1.99 ; r=0.9999994 ; t=950.3 ; s=$ $0.00101 ; s_{\rho}=0.00272 ; n=3 ; C L=99.9$.

We conclude from these results that in general the alkaline hydrolysis of ortho-substituted benzoate esters is subject only to electrical substituent effects and is independent of steric effects. We further conclude that the electrical effect in general is largely if not entirely a localized effect.
meta-Substituted Sets. Rates of alkaline hydrolysis of 3 -substituted ethyl benzoates in $85 \%$ aqueous ethanol at 25 and $35^{\circ}$ gave poor but significant correlations with eq 4 (sets M10 and M11). Rates of methanolysis of 3 -substituted 1 -methyl benzoates at $30,39.9$, and $50^{\circ}$ gave excellent, poor, and excellent correlation, respectively, with eq 4 (sets M21, M22, and M23).
para-Substituted Sets. Correlation with eq 4 of rate constants for alkaline hydrolysis of 4 -substituted ethyl benzoates in aqueous acetone gave excellent results (sets P3, P4, and P7) as did rate constants in $3 \%$ aqueous ethanol (set P9) and in 65 and $83 \%$ aqueous dimethyl sulfoxide (sets P13 and P14). Rate constants for the m tharolysis of 4 -substituted 1 -menthyl benzoates at various temperatures all gave excellent correlations with eq 4.

## Discussion

Nature of the ortho-Substituent Effect. Our results, in particular, the correlation with eq 5 , show that eq 2
is not obeyed. Rates of alkaline hydrolysis and of methanolysis of 2 -substituted benzoates are independent of steric effects. They are largely or entirely a function of $\sigma_{\mathrm{I}}$. We may rationalize the nature of the substituent effect upon basic ester hydrolysis in the following manner. It has been shown that proton transfers such as the ionization of 2 -substituted pyridinium ions ${ }^{7}$ and the rates of H-D exchange of 2 -substituted benzenes in liquid ammonia ${ }^{8}$ are largely or entirely a function of the localized effect. In terms of the parameter, $\epsilon$, defined as

$$
\begin{equation*}
\epsilon \equiv \beta / \alpha \tag{6}
\end{equation*}
$$

which serves as a measure of the composition of substituent effects, the above reactions show a value of $\epsilon=$ $0-0.3$. Most of the sets studied in this paper show values of $\epsilon$ in this range. The attack of a hydroxide ion on the carbonyl carbon of the ester is formally analogous to the attack of some base on the proton transferred in the ionization of a pyridinium ion, or in H-D exchange.

The lack of a significant dependence on $\sigma_{\mathrm{R}}$ observed for most of the sets studied may also be indicative of the location of the transition state on the reaction coordinate. If the transition state is located such that the bond between the hydroxide (or methoxide) ion and the carbonyl group is largely formed, no significant delocalization is possible between the ring and the reaction site. This may account at least in part for the small contribution of $\sigma_{\mathrm{R}}$ in the over-all substitutent effect.

Magnitude of the Substituent Effect. The average value of $\alpha$ for alkaline hydrolysis obtained from those 2 -substituted sets which gave significant correlations with eq 5 is 2.50 . This is comparable to the average values of $\alpha$ of 2.38 and 2.28 obtained for alkaline hydrolysis from the 3 - and 4 -substituted sets. The average value of $\alpha$ for the methanolysis obtained from the 2 -substituted sets is 2.06 , comparable to the average values of 2.52 and 2.58 obtained from the 3 - and 4 -sub-

[^2]Table II. Results of Correlations with Eq 3 and 4

| Set | $\alpha$ | $\beta$ | $\psi$ | $h$ | $R$ |  | $F$ | $r_{12}$ | $r_{13}$ | $r_{23}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| O1 | 2.15 | $-0.373$ | -0.579 | -0.852 | 0.999 |  | 113.8 | 0.612 | 0.0946 | 0.834 |
| O1B | 1.83 | -1.35 |  | -1.98 | 0.998 |  | 252.7 | 0.612 |  |  |
| O2 | 1.59 | -2.54 | 0.668 | -2.98 | 0.989 |  | 30.92 | 0.687 | 0.0224 | 0.730 |
| O2B | 1.97 | -1.41 |  | $-1.69$ | 0.989 |  | 66.75 | 0.687 |  |  |
| O3B | 2.71 | 0.432 |  | 0.790 | 0.966 |  | 7.012 | 0.048 |  |  |
| O4B | 1.83 | 1.04 |  | 1.85 | 0.903 |  | 2.196 | - 0.048 |  |  |
| O5B | 2.74 | 0.451 |  | 0.663 | 0.966 |  | 7.048 | -0.048 |  |  |
| O6B | 2.57 | 0.385 |  | 1.16 | 0.962 |  | 6.243 | - 0.048 |  |  |
| O7B | 2.81 | 0.523 |  | 0.605 | 0.967 |  | 7.203 | - 0.048 |  |  |
| O8B | 2.64 | 0.465 |  | 1.12 | 0.966 |  | 7.061 | 0.048 |  |  |
| O9 | 2.41 | 1.89 | -1.61 | 0.819 | 0.979 |  | 15.63 | 0.524 | 0.735 | 0.791 |
| O9C | 2.00 | 1.21 |  | -2.06 | 0.925 |  | 5.964 | 0.178 |  |  |
| O10C | 2.53 | -0.0403 |  | -0.998 | 0.999 |  | 212.7 | 0.061 |  |  |
| O11B | 2.46 | -0.0650 |  | $-0.580$ | 0.998 |  | 159.7 | 0.061 |  |  |
| O12B | 2.33 | $-0.153$ |  | -0.00801 | 0.997 |  | 80.94 | 0.061 |  |  |
| O13B | 2.13 | 0.838 |  | -0.0329 | 0.998 |  | 162.8 | 0.390 |  |  |
| O14B | 2.24 | 1.55 |  | 0.754 | 0.996 |  | 61.63 | 0.390 |  |  |
| O21B | 1.90 | 0.887 |  | $-1.22$ | 0.990 |  | 24.16 | 0.333 |  |  |
| O 22 | 1.79 | 0.274 | 0.201 | $-1.23$ | 0.997 |  | 58.23 | 0.374 | 0.697 | 0.871 |
| O22B | 1.92 | 0.537 |  | $-0.842$ | 0.996 |  | 135.9 | 0.374 |  |  |
| O 23 | 1.76 | 0.256 | 0.200 | -0.834 | 0.997 |  | 62.21 | 0.374 | 0.697 | 0.871 |
| O23B | 1.89 | 0.517 |  | $-0.451$ | 0.996 |  | 142.0 | 0.374 |  |  |
| O23C | 1.79 | 0.348 |  | $-0.477$ | 0.996 |  | 58.22 | 0.224 |  |  |
| M10 | 2.42 | 1.20 |  | -0.154 | 0.999 |  | 192.7 | 0.207 |  |  |
| M11 | 2.34 | 1.18 |  | 0.277 | 0.999 |  | 191.1 | 0.207 |  |  |
| M21 | 2.59 | 1.04 |  | $-0.223$ | 0.998 |  | 355.4 | 0.104 |  |  |
| M22 | 1.73 | 1.83 |  | 0.714 | 0.929 |  | 9.490 | $0 \quad 0.104$ |  |  |
| M23 | 2.45 | 0.992 |  | 0.558 | 0.998 |  | 348.1 | 0.104 |  |  |
| P3 | 2.23 | 2.30 |  | 1. 66 | 0.999995 |  | 53,763.0 | 0.207 |  |  |
| P4 | 2.15 | 2.22 |  | 2.13 | 0.999999 |  | 312,500.0 | 0.207 |  |  |
| P7 | 2.47 | 2.34 |  | 1.46 | $0.9999$ |  | 7,812.0 | 0.303 |  |  |
| P9 | 1.52 | 1.46 |  | $-1.51$ | 0.992 |  | 87.26 | 0.104 |  |  |
| P13 | 2.40 | 2.32 |  | 0.587 | 0.999 |  | 392.6 | 0.140 |  |  |
| P14 | 2.77 | 2.86 |  | 1.24 | 0.9998 |  | 2,544.0 | 0.140 |  |  |
| P21 | 2.65 | 2.55 |  | -0.253 | 0.9992 |  | 1,299.0 | 0.247 |  |  |
| P22 | 2.58 | 2.47 |  | 0.144 | $0.9992$ |  | 1,260.0 | $0.247$ |  |  |
| P23 | 2.51 | 2.39 |  | 0.528 | 0.9992 |  | 1,213.0 | 0.247 |  |  |
| Set |  | $S_{\text {est }}$ | $s_{\alpha}$ | $s \beta$ |  | $s_{\psi}$ |  | $s_{h}$ | $n$ | $C L$ |
| Ol |  | 0.0606 | 0.534 | 1.67 |  | 0.977 |  | 1.91 | 5 | 90.0 |
| O1B |  | 0.0498 | 0.136 | 0.226 |  |  |  | 0.0448 | 5 | 99.0 |
| O 2 |  | 0.155 | 1.39 | 3.97 |  | 2.31 |  | 4.48 | 6 | 95.0 |
| O2B |  | 0.129 | 0.300 | 0.588 |  |  |  | 0.104 | 6 | 99.5 |
| O3B |  | 0.352 | 0.729 | 0.723 |  |  |  | 0.379 | 4 | $<90.0$ |
| O4B |  | 0.475 | 0.983 | 0.976 |  |  |  | 0.511 | 4 | $<90.0$ |
| O5B |  | 0.355 | 0.735 | 0.729 |  |  |  | 0.382 | 4 | $<90.0$ |
| O6B |  | 0.353 | 0.731 | 0.725 |  |  |  | 0.379 | 4 | $<90.0$ |
| O7B |  | 0.360 | 0.746 | 0.741 |  |  |  | 0.388 | 4 | $<90.0$ |
| O8B |  | 0.342 | 0.710 | 0.704 |  |  |  | 0.369 | 4 | $<90.0$ |
| 09 |  | 0.197 | 0.489 | 0.478 |  | 0.468 |  | 0.887 | 6 | 90.0 |
| O9C |  | 0.344 | 0.781 | 0.566 |  |  |  | 0.372 | 5 | $<90.0$ |
| O10B |  | 0.0671 | 0.123 | 0.166 |  |  |  | 0.0683 | 4 | 95.0 |
| O11B |  | 0.0753 | 0.138 | 0.186 |  |  |  | 0.0767 | 4 | 90.0 |
| O12B |  | 0.100 | 0.184 | 0.248 |  |  |  | 0.102 | 4 | 90.0 |
| O13B |  | 0.0744 | 0.149 | 0.181 |  |  |  | 0.0810 | 4 | 90.0 |
| O14B |  | 0.146 | 0.292 | 0.353 |  |  |  | 0.158 | 4 | 90.0 |
| O21B |  | 0.161 | 0.319 | 0.634 |  |  |  | 0.184 | 4 | $<90.0$ |
| C 22 |  | 0.0886 | 0.299 | 0.537 |  | 0.378 |  | 0.730 | 5 | 90.0 |
| C22B |  | 0.0710 | 0.139 | 0.171 |  |  |  | 0.0772 | 5 | 99.0 |
| O 23 |  | 0.0839 | 0.284 | 0.509 |  | 0.358 |  | 0.692 | 5 | 90.0 |
| O23B |  | 0.0680 | 0.133 | 0.164 |  |  |  | 0.0739 | 5 | 99.0 |
| O23C |  | 0.0677 | 0.166 | 0.234 |  |  |  | 0.0781 | 4 | 90.0 |
| M10 |  | 0.0811 | 0.134 | 0.325 |  |  |  | 0.0614 | 4 | 90.0 |
| M11 |  | 0.0786 | 0.130 | 0.315 |  |  |  | 0.0595 | 4 | 90.0 |
| Mi2? |  | 0.0669 | 0.105 | 0.139 |  |  |  | 0.0493 | 6 | 99.9 |
| M22 |  | 0.3421 | 0.536 | 0.710 |  |  |  | 0.252 | 6 | 90.0 |
| M123 |  | 0.0640 | 0.100 | 0.133 |  |  |  | 0.0471 | 6 | 99.9 |
| P3 |  | 0.00490 | $0.00808$ | $0.0196$ |  |  |  | 0.00371 | 4 | 99.5 |
| P 4 |  | 0.00196 | 0.00324 | 0.00786 |  |  |  | 0.00149 | 4 | 99.5 |
| P7 |  | 0.0204 | 0.0335 | 0.0318 |  |  |  | 0.0149 | 5 | 99.9 |
| P9 |  | 0.0955 | 0.150 | 0.199 |  |  |  | 0.0703 | 6 | 99.5 99.5 |
| P13 |  | 0.0707 | 0.115 | $0.149$ $00697$ |  |  |  | 0.0520 0.0242 | 5 5 | 99.5 99.9 |
| P14 |  | 0.0329 | 0.0536 | $0.0692$ |  |  |  | 0.0242 0.0363 | 5 | 99.9 99.9 |
| 22 |  | 0.0499 | 0.0745 | 0.0962 |  |  |  | 0.0363 | 7 | 99.9 99.9 |
| $=2$ |  | 0.0492 | 0.0735 | 0.0949 |  |  |  | 0.0358 | 7 | 99.9 |
| P 23 |  | 0.0487 | 0.0727 | 0.0939 |  |  |  | 0.0354 | 7 | 99.9 |

Table II (Continued)

| Set | $t_{\alpha}$ | $C L$ | $t_{\beta}$ | $C L$ | $t_{\psi}$ | $C L$ | $t_{h}$ | $C L$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| O1 | 3.881 | 80.0 | 0.223 | <20.0 | 0.593 | 20.0 | 0.446 | 20.0 |
| O1B | 13.46 | 99.0 | 5.973 | 95.0 |  |  | 39.76 | 99.9 |
| O 2 | 1.144 | 50.0 | 0.640 | 20.0 | 0.289 | 20.0 | 0.665 | 20.0 |
| O2B | 6.567 | 99.0 | 2.398 | 90.0 |  |  | 16.25 | 99.9 |
| O3B | 3.717 | 80.0 | 0.598 | 20.0 |  |  | 2.084 | 50.0 |
| O4B | 1.862 | 50.0 | 1.066 | 50.0 |  |  | 3.620 | 80.0 |
| O5B | 3.728 | 80.0 | 0.619 | 20.0 |  |  | 1.736 | 50.0 |
| O6B | 3.516 | 80.0 | 0.631 | 20.0 |  |  | 3.061 | 50.0 |
| O7B | 3.767 | 80.0 | 0.706 | 20.0 |  |  | 1.559 | 50.0 |
| O8B | 3.718 | 80.0 | 0.661 | 20.0 |  |  | 3.035 | 50.0 |
| O9 | 4.928 | 95.0 | 3.954 | 90.0 | 3.440 | 90.0 | 0.923 | 50.0 |
| O9C | 2.561 | 80.0 | 1.817 | 50.0 |  |  | 5.538 | 95.0 |
| O10B | 20.57 | 95.0 | 0.024 | $<20.0$ |  |  | 14.61 | 95.0 |
| O11B | 17.83 | 95.0 | 0.035 | $<20.0$ |  |  | 7.562 | 90.0 |
| O12B | 12.66 | 90.0 | 0.717 | 20.0 |  |  | 0.079 | $<20.0$ |
| O13B | 14.30 | 95.0 | 4.630 | 80.0 |  |  | 0.406 | 20.0 |
| O14B | 7.671 | 90.0 | 4.391 | 80.0 |  |  | 4.772 | 80.0 |
| O21B | 5.956 | 80.0 | 1.399 | 50.0 |  |  | 6.630 | 90.0 |
| O22 | 5.987 | 80.0 | 0.510 | 20.0 | 0.532 | 20.0 | 1.685 | 50.0 |
| O22B | 13.81 | 99.0 | 3.140 | 90.0 |  |  | 10.91 | 99.0 |
| O 23 | 6.197 | 80.0 | 0.503 | 20.0 | 0.559 | 20.0 | 1.305 | 50.0 |
| O23B | 14.21 | 99.0 | 3.152 | 90.0 |  |  | 6.103 | 95.0 |
| O 23 C | 10.78 | 90.0 | 1.487 | 50.0 |  |  | 6.108 | 80.0 |
| M10 | 18.06 | 95.0 | 3.692 | 80.0 |  |  | 2.508 | 50.0 |
| M11 | 18.00 | 95.0 | 3.746 | 80.0 |  |  | 4.655 | 80.0 |
| M21 | 24.67 | 95.0 | 7.482 | 90.0 |  |  | 4. 523 | 80.0 |
| M22 | 3.228 | 95.0 | 2.577 | 90.0 |  |  | 2.833 | 90.0 |
| M23 | 24.50 | 99.9 | 7.459 | 99.0 |  |  | 11.85 | 99.0 |
| P3 | 276.0 | 99.0 | 117.3 | 99.0 |  |  | 447.4 | 99.0 |
| P4 | 663.6 | 99.9 | 282.4 | 99.0 |  |  | 1430.0 | 99.9 |
| P7 | 73.73 | 99.9 | 73.58 | 99.9 |  |  | 97.98 | 99.9 |
| P9 | 10.13 | 99.0 | 7.337 | 99.0 |  |  | 21.48 | 99.9 |
| P13 | 20.87 | 99.9 | 15.57 | 99.9 |  |  | 11.29 | 99.0 |
| P14 | 51.68 | 99.9 | 41.33 | 99.0 |  |  | 51.24 | 99.9 |
| P21 | 35.57 | 99.9 | 26.51 | 99.9 |  |  | 6.970 | 99.0 |
| P22 | 35.10 | 99.9 | 16.03 | 99.9 |  |  | 4.022 | 98.0 |
| P23 | 34.53 | 99.9 | 25.45 | 99.9 |  |  | 14.92 | 99.9 |

Table III. Results of Correlation with Eq 5

| Set | $\alpha$ | $h$ | $r$ | $t$ | $s_{\text {est }}$ | $s_{\alpha}$ | $n$ |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| O1D | 2.33 | -1.85 | 0.962 | 6.135 | 0.176 | 0.379 | 5 | 9.0 |
| O2D | 2.47 | -1.53 | 0.967 | 7.641 | 0.192 | 0.323 | 6 |  |
| O3D | 2.69 | 0.625 | 0.954 | 4.490 | 0.290 | 0.600 | 4 |  |
| O4D | 1.78 | 1.46 | 0.778 | 1.753 | 0.489 | 1.01 | 4 |  |
| O5D | 2.72 | 0.490 | 0.953 | 4.453 | 0.295 | 0.610 | 4 | 9.0 |
| O6D | 2.55 | 1.01 | 0.951 | 4.363 | 0.282 | 0.584 | 4 | 9.0 |
| O7D | 2.78 | 0.405 | 0.950 | 4.307 | 0.312 | 0.646 | 4 | 95.0 |
| O8D | -2.62 | 0.940 | 0.951 | 4.365 | 0.290 | 0.600 | 4 | 95.0 |
| O9D | 1.81 | -2.50 | 0.788 | 2.560 | 0.424 | 0.708 | 6 |  |
| O9E | 2.26 | -2.57 | 0.787 | 2.207 | 0.458 | 1.02 | 5 | 90.0 |
| O10D | 1.53 | -0.990 | 0.999 | 28.34 | 0.0488 | 0.0891 | 4 | 80.0 |
| O11D | 2.46 | -0.567 | 0.998 | 23.86 | 0.0564 | 0.103 | 4 | 99.0 |
| O12D | 2.33 | -0.0224 | 0.996 | 15.30 | 0.0834 | 0.152 | 4 | 99.0 |
| O13D | 2.40 | -0.288 | 0.965 | 5.192 | 0.250 | 0.462 | 4 | 9.0 |
| O14D | 2.74 | 0.283 | 0.915 | 3.209 | 0.463 | 0.855 | 4 | 90.0 |
| O15D | 1.81 | 1.41 | 0.696 | 0.969 | 0.689 | 0.187 | 3 | 20.0 |
| O21D | 2.05 | -1.39 | 0.970 | 5.598 | 0.196 | 0.366 | 4 | 95.0 |
| O22D | 2.09 | -1.01 | 0.978 | 8.134 | 0.141 | 0.257 | 5 | 99.0 |
| O23D | 2.04 | -0.611 | 0.979 | 8.276 | 0.136 | 0.247 | 5 |  |

stituted sets. Thus the magnitude of the substituent effect is approximately the same for ortho, meta, and para substitution. This is in sharp contrast to the ortho effect upon the ionization of benzoic acids in water, where $\alpha$ for the 2 -substituted acids is more than twice $\alpha$ for the 3 - and 4 -substituted acids.

The Taft $\sigma_{0}{ }^{*}$ Constants. The Taft $\sigma_{0}{ }^{*}$ constants are defined by the equation ${ }^{1}$

$$
\begin{equation*}
\frac{1}{2.48}\left[\log \left(\frac{k_{\mathrm{X}}}{k_{\mathrm{H}}}\right)_{\mathrm{B}}-\log \left(\frac{k_{\mathrm{X}}}{k_{\mathrm{H}}}\right)_{\mathrm{A}}\right] \equiv \sigma_{\mathrm{o}}^{*} \tag{7}
\end{equation*}
$$

The argument for eq 7 rests on the assumptions implicit in eq 1 and 2, that is, as follows. (1) The acidic hydrolysis of 2-substituted benzoates is a function largely or entirely of steric effects. (2) The basic hydrolysis of 2 -substituted benzoates is a function of the ortho-electrical effect and a steric effect. (3) The steric effect is at least approximately the same in the acidic and basic hydrolyses; therefore in eq 7 the steric effect cancels out leaving the ortho-electrical effect.

In the previous paper of this series ${ }^{3}$ we have disproven assumption 1 and therefore assumption 3 as well. In
this paper we have disproven assumption 2. Thus the Taft $\sigma_{0}{ }^{*}$ constants do not represent an intrinsic general ortho-electrical effect. They are a combination of the electrical effect in acidic hydrolysis, largely resonance in character, and the electrical effect in basic hydrolysis, largely localized in character. That their composition (as measured by $\epsilon$ ) is the same as that of the $\sigma_{\mathrm{p}}$ constants is purely fortuitous. Their success in correlating many sets of ortho-substituted data is due to the variability of the ortho-electrical effect which ranges from $\epsilon=0$ to $\epsilon=2$. We will expand on this point in another paper.

Solvent Effects on the Composition of the orthoElectrical Effect. We have shown elsewhere that when the $\mathrm{p} K_{\mathrm{a}}$ values of 2 -substituted benzoic acids in various
solvents are correlated with eq $4, \alpha$ is constant whereas $\beta$ is a function of solvent. ${ }^{5}$ The results obtained in aqueous acetone at $25^{\circ}$ suggest the possibility of a solvent dependence of $\beta$ for the correlations obtained with the rates of alkaline hydrolysis of 2 -substituted ethyl benzoates. More telling evidence on this point is obtained from a consideration of the $\beta$ values obtained for 65 , 85 , and $95 \%$ aqueous dimethyl sulfoxide. The $\beta$ values are $0.838,1.55$, and 2.59 , respectively. While the results are certainly not conclusive, they do indicate the strong possibility that $\beta$ is a function of solvent for the alkaline hydrolysis of benzoate esters.

As was the case for the benzoic acid ionization, $\alpha$ seems to be largely or entirely free of solvent dependence.

# Infrared Intensities as a Quantitative Measure of Intramolecular Interactions. V. ${ }^{1}$ ortho and meta-Disubstituted Benzenes. The $\nu_{16}$ Band near $1600 \mathrm{~cm}^{-1}$ <br> A. R. Katritzky, ${ }^{2}$ M. V. Sinnott, ${ }^{2}$ T. T. Tidwell, ${ }^{2,3}$ and R. D. Topsom ${ }^{4}$ <br> Contribution from the School of Chemical Sciences, University of East Anglia, Norwich, England, and the School of Physical Sciences, La Trobe University, Melbourne, Australia. Received June 26, 1968 


#### Abstract

The integrated intensity is reported for the $1600-\mathrm{cm}^{-1}$ band for many meta- and ortho-disubstituted benzenes. Equations relating the expected intensities with $\sigma_{R}{ }^{\circ}$ parameters for the substituents are deduced and shown to hold. Conformational isomerism for meta-substituted benzaldehydes and other compounds with asymmetrical substituents is discussed and tentative values for the corresponding equilibrium constants are calculated. Steric and mesomeric interactions in ortho-disubstituted compounds are discussed.


Previous papers in this series have shown that the total integrated area of the bands near 1600 and 1580 $\mathrm{cm}^{-1}$ for mono-5 and para-disubstituted benzenes ${ }^{1}$ and for monosubstituted durenes ${ }^{5}$ are related by eq 1,2 , and 3 to the $\sigma_{\mathrm{R}}{ }^{\circ}$ value(s) of the substituent(s); in eq 2 the algebraic signs of the $\sigma_{\mathrm{R}}{ }^{\circ}$ values result in over-all addition for "unlike" substituents and over-all subtraction for "like" substituents. The different values of the coefficients in eq 1,2 , and 3 (also 11 ; see later) are believed to arise from variations in the precise form of the normal mode as between various substitution types of benzenes. Equation 2 applies to para-disubstituted

$$
\begin{gather*}
A_{\text {moдo }}=17,600\left(\sigma_{\mathrm{R}}{ }^{\circ}\right)^{2}+100  \tag{1}\\
A_{\text {para }}=11,800\left(\sigma_{\mathrm{R}}{ }^{\circ} 1-\sigma_{\mathrm{R}}{ }^{\circ} 2\right)^{2}+170  \tag{2}\\
A_{\text {durene }}=11,300\left(\sigma_{\mathrm{R}}{ }^{\circ}\right)^{2}-30 \tag{3}
\end{gather*}
$$

[^3]compounds in which direct resonance interaction between the two substituents does not occur; discrepancies from eq 2 are useful for the investigation of substituent interactions. ${ }^{1}$ Equation 3 applies in the absence of steric effects.

The extension of such infrared intensity measurements to other polysubstituted systems was expected to be a useful means for the examination of the combined effects of resonance and steric interaction. For example, meta substituents should be incapable of direct interaction either sterically or by ordinary conjugation, whereas ortho substituents can interact by both such means. Earlier semiquantitative work by one of us ${ }^{6}$ had indicated that whereas the intensity of the paradisubstituted derivatives varied as the algebraic difference between the electronic effects of the substituents ${ }^{7}$ the intensity of the meta-disubstituted compounds varied as approximately their sum, ${ }^{8}$ and the ortho-disubstituted derivatives showed intermediate behavior. ${ }^{9}$ Little other work has appeared on the

[^4]
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